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# Scanning electron microscope

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6<sup>th</sup> CEMM workshop

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# Outline

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- The basic principle?
- What is an electron?
- Parts of the SEM
  - Electron gun
  - Electromagnetic lenses
  - Apertures
  - Chamber and detectors
- Electrons and their interactions with the specimen
- SEM settings and how we see it on the SEM image
  - Voltage
  - Current
  - WD and apertures on DOF
  - Scanning speed

Figure removed for copyright reasons.

*(Image: ammr)*

# Basic principle of SEM operation

# Basic principle?

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By using a focused beam of electrons, we can see otherwise invisible worlds on the microscale and nanoscale.

SEM advantages over LM:

- Resolution at high magnification
- Depth of focus (field, depth)
- Microanalysis (EDS, WDS, EBSD, CL)

In LM: the specimen is unchanged by observation  
In EM: interaction can have more serious consequences (heated and chemical changes)

But must be vacuum compatible and conductive!

*(Images: ammr)*

Figure removed for copyright reasons.

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Magnification is the enlargement of an image, or portion of an image. In a SEM this is achieved by scanning a smaller area. In the images, the beam is indicated by arrows on a sample.

Resolution? The ability to distinguish closely spaced points as separate points.

# What is an electron?

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Electrons are small subatomic particles (small and firm, like a ball).

In the 1920 in Bell laboratories an experiment was made where the beam of electrons passed a double slit and was observed on the screen

Figure removed for copyright reasons.

Quantum mechanics: Niels Bohr

Wave – particle duality

What do you see?

Figure removed for copyright reasons.

Image: getmedic.ru

(Images: physics.stackexchange.com)



(Image: LEGO)

# Parts of the SEM

Microscope (column and chamber), computer and other parts (vacuum system, water chilling system, microanalysis )

# Microscope column

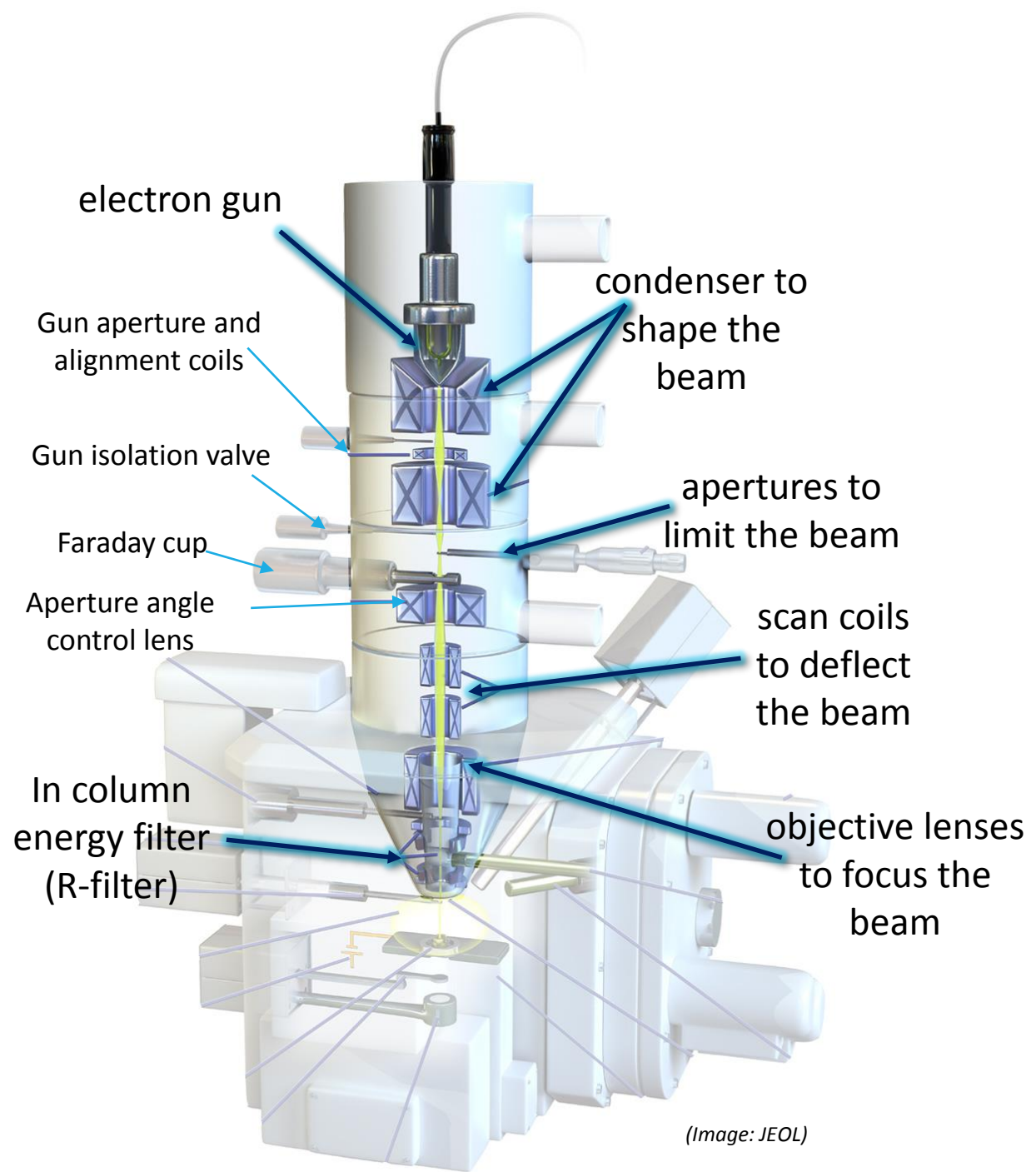


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# Electron gun



# Thermionic emission

The components to produce an electron beam:

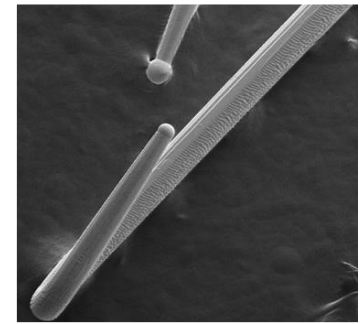
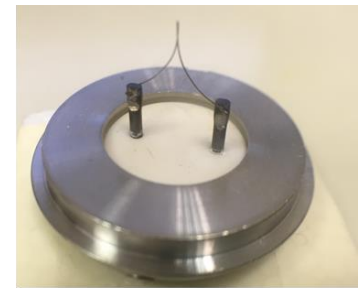
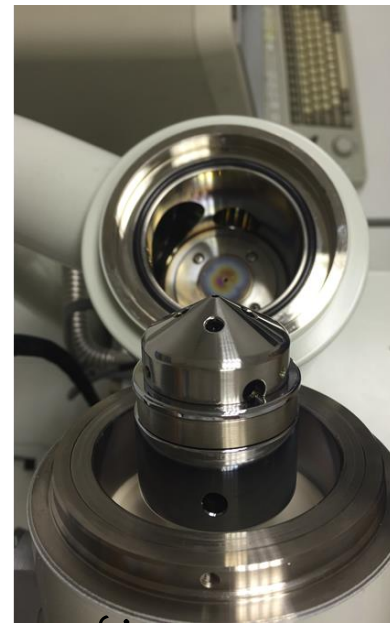
an **emitter** (electrode W or LaB<sub>6</sub>)

a surrounding cathode (**Wehnelt** cylinder/ grid cap)

an **anode** with a central hole.



Image of the TE LaB<sub>6</sub>



JSM-5800

Figure removed for copyright reasons.

$$E = E_w - E_F$$

Emission current density

$$\text{Tungsten: } J_{th} = 3,4 \text{ A/cm}^2$$

$$T = 2700 \text{ K}, E_w = 4,5 \text{ eV}$$

$$\text{LaB}_6: J_{th} = 40 \text{ A/cm}^2$$

$$T = 1800 \text{ K}, E_w = 2,5 \text{ eV}$$

(Image: ammrff)

Figure removed for copyright reasons.

(Image: Goldstein)

# Field emission

The FE gun consists of:

## Emitter

cathode - tungsten with a very sharp point <100nm

## Suppresser anode

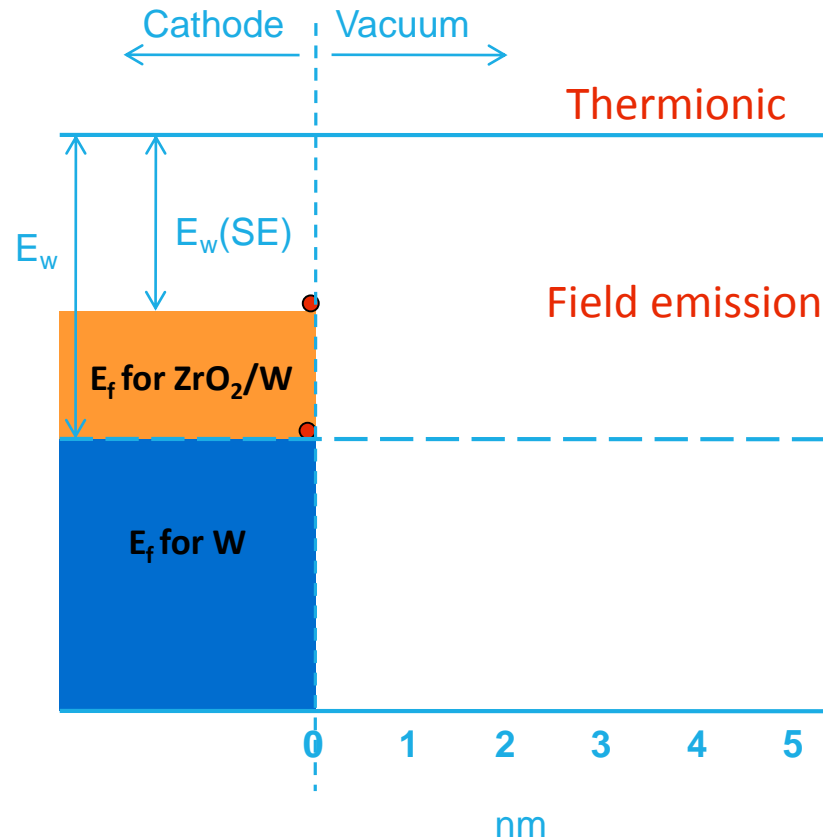
(only Schottky – field assisted thermionic emitter)

## Extraction anode

(a very strong field at the tip  $>10^7$  V/cm)

## Accelerating anode

(final accelerating)

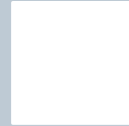


# Comparison of electron guns

Emitter Type Cathode material	Thermionic W	Thermionic LaB <sub>6</sub>	Schottky FEG ZrO/W (100)	cold FEG W(310)
Operating temperature [K]	2,800	1,900	1,800	300
Cathode radius [nm]	60,000	10,000	< 1,000	< 100
Effective source radius [nm]	15,000	5,000	15	2.5
Emission current density [A/cm <sup>2</sup> ]	<b>3</b>	30	<b>5,300</b>	17,000
Total emission current [μA]	200	80	200	5
Normalized brightness [A/cm <sup>2</sup> .sr.kV]	<b>1 x 10<sup>4</sup></b>	1 x 10 <sup>5</sup>	<b>1 x 10<sup>7</sup></b>	2 x 10 <sup>7</sup>
Maximum probe current [nA]	1000	1000	10 - 100	0.2
Energy spread @ cathode [eV]	0.59	0.40	0.31	0.26
Energy spread @ gun exit [eV]	<b>1.5 - 2.5</b>	1.3 - 2.5	<b>0.35 - 0.7</b>	0.3 - 0.7
Beam noise [%]	1	1	1	5 - 10
Emission current drift [%/h]	<b>0.1</b>	0.2	<b>&lt; 0.5</b>	5
Operating vacuum hPa/mbar <sup>1</sup>	<b>&lt; 1 x 10<sup>-5</sup></b>	< 1 x 10 <sup>-6</sup>	<b>&lt; 1 x 10<sup>-9</sup></b>	< 1 x 10 <sup>-10</sup>
Typical Cathode life [h]	<b>100</b>	> 1000	<b>&gt; 5000</b>	> 2000
Cathode regeneration	not required	not required	not required	every 6 to 8 hours
Sensitivity to external influence	minimal	minimal	low	high

(Table: tedpella)

Figure removed for copyright reasons.

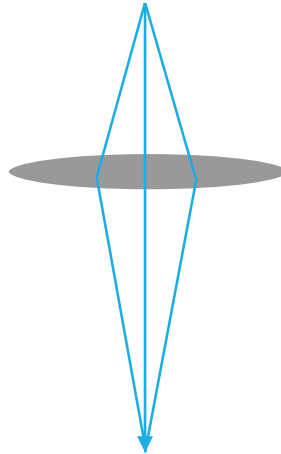
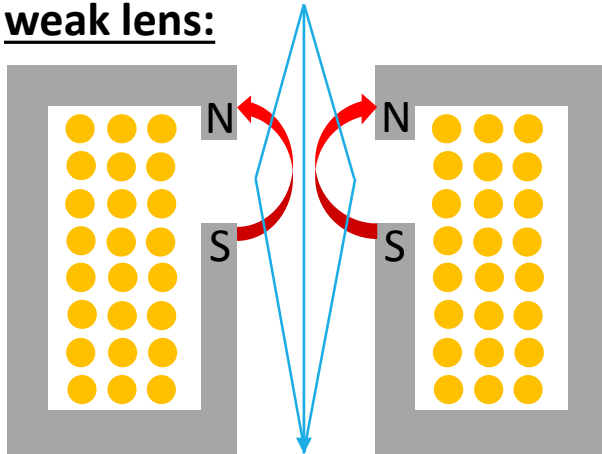


# Electromagnetic (EM) lens system

Condenser lens, objective lens and scanning coils.

# EM lenses

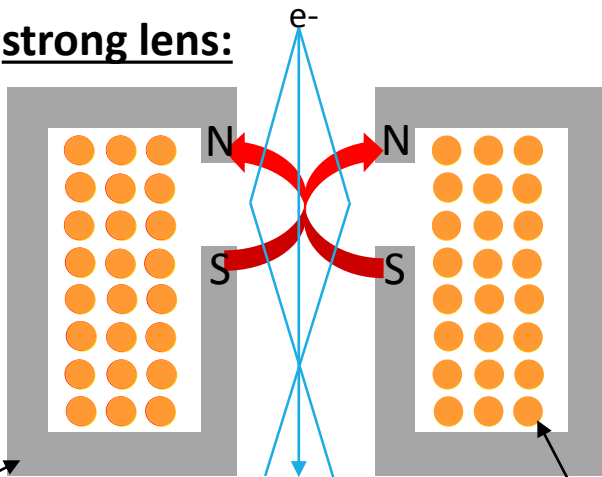
## weak lens:



Similar to glass lenses in optical microscopes.

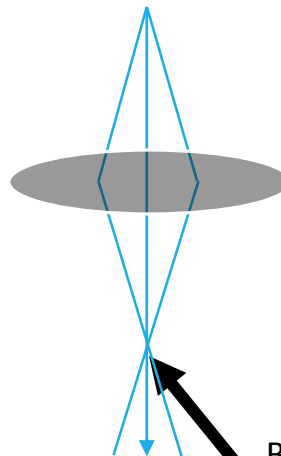
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## strong lens:



Soft iron pole pieces

Copper coils



Beam cross-over  
(focal point)

Main role of EM lenses is to **demagnify** the source of electrons to form a much smaller diameter probe.

The force  $F$  (Lorentz) acting on a particle of electric charge  $q$  with instantaneous velocity  $v$ , due to an external electric field  $E$  and magnetic field  $B$ , is given by:

$$F = q(E + v \times B)$$

# Two main lenses used in EM:

## CONDENSER LENS

The main role of the condenser lens is to control **the size of the beam** and determines the number of electrons in the beam which hit the sample.

**Low** SPOT SIZE or PROBE CURRENT is a **STRONG** condenser lens.

**High** SPOT SIZE or PROBE CURRENT is a **WEAK**.



Figure removed for copyright reasons.

## OBJECTIVE LENS

**Focuses** electrons on the sample at the working distance.

In SEM we have TWO objective lenses.

In TEM there are three objective lenses (mini, upper and lower OL).

(Images: ammrif)

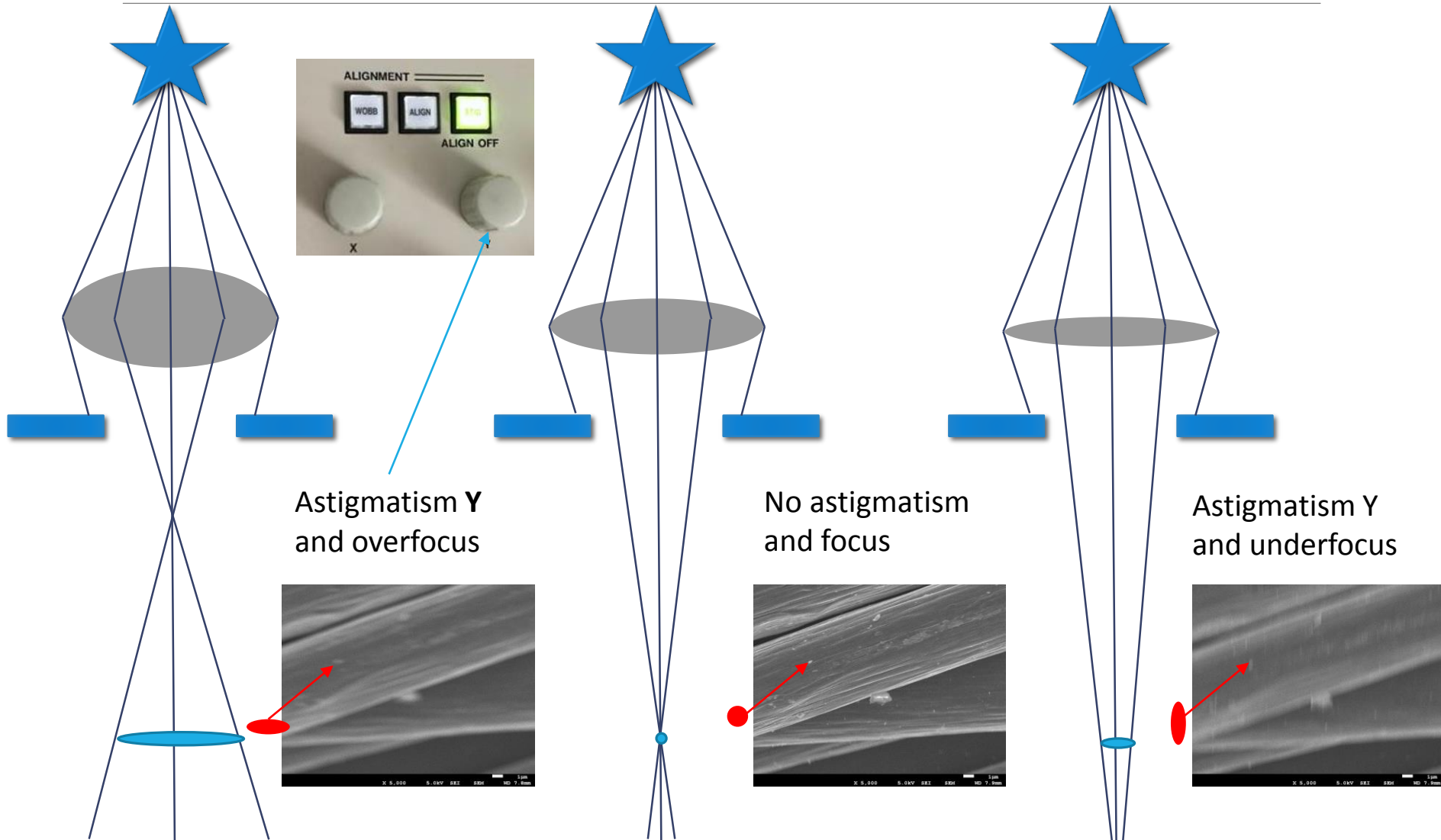


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# What is astigmatism?

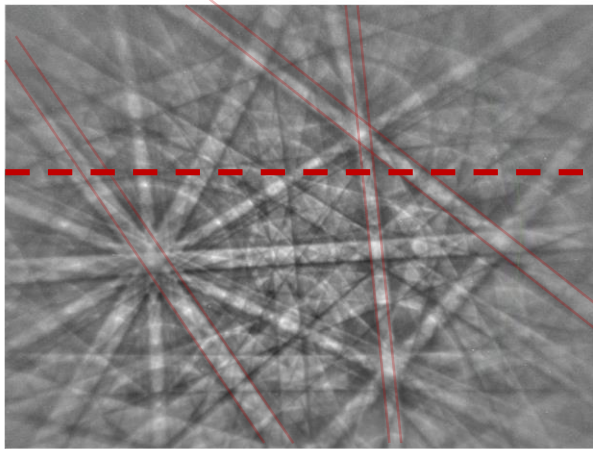
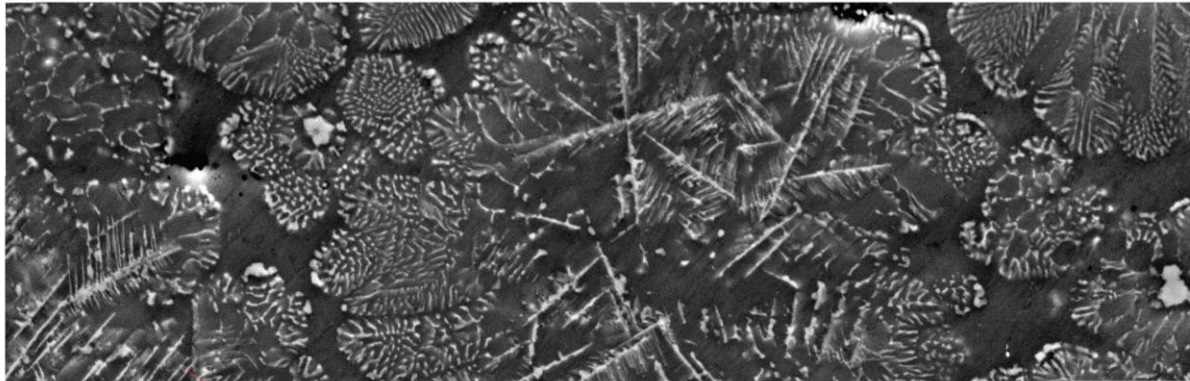
Non-spherical electron beam.



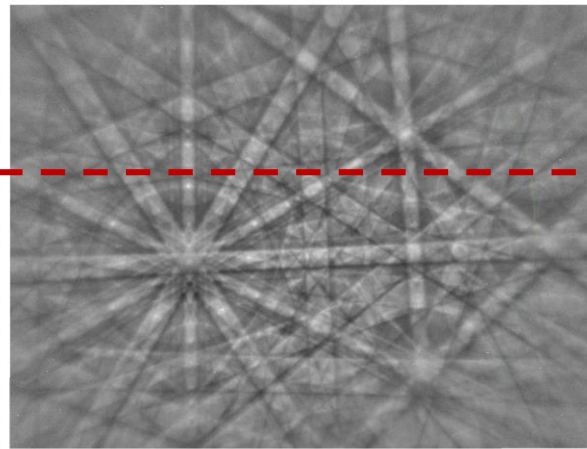
astigmatism is "easily" corrected using stigmators. These are small octupoles. [oblar@ijs.si](mailto:oblar@ijs.si)

# The effect of the objective lens?

By doing EBSD - Electron BackScatter Diffraction.



EBSP in SEM mode



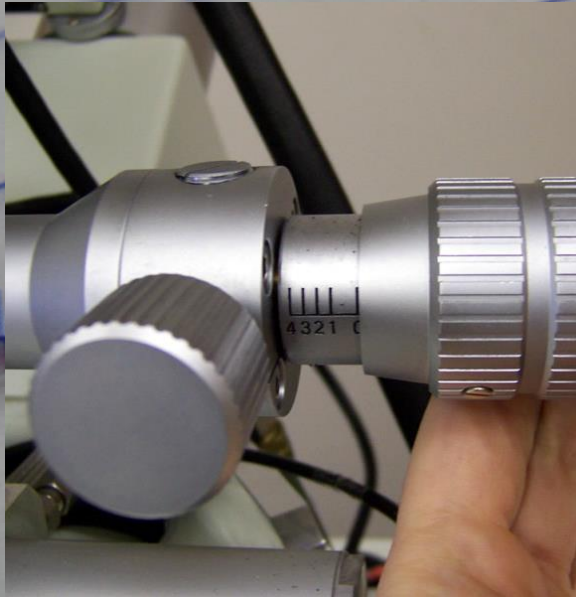
EBSP in LM mode



**WD 20 mm**

**Magnetic samples:  
WD 15 mm or more.**





# Apertures to limit the beam

# Apertures

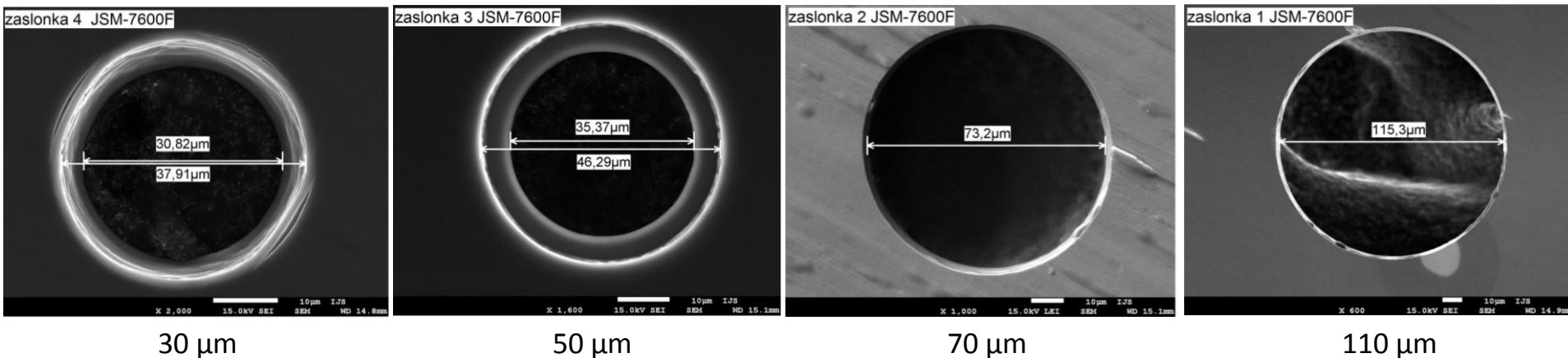
For ultra high resolution – use the smallest 30  $\mu\text{m}$  (smaller probe, low current, large depth of focus).

For microanalysis – use the largest 110  $\mu\text{m}$  (observation at high currents, shallow depth of focus, higher statistics).

For usual observation – use 50  $\mu\text{m}$ .

To work with high probe current, but still good resolution – use 70  $\mu\text{m}$ .

Needs to be changed regularly.



# Alignment: $\Delta$ condenser lens

Condenser  
lens

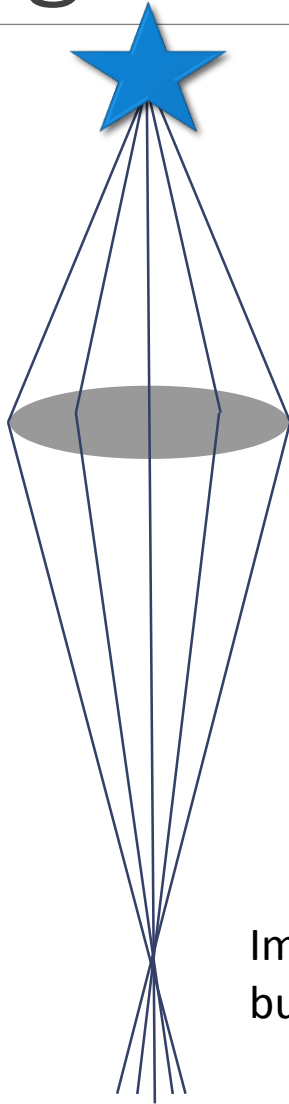


Image stays still,  
but gets out of focus

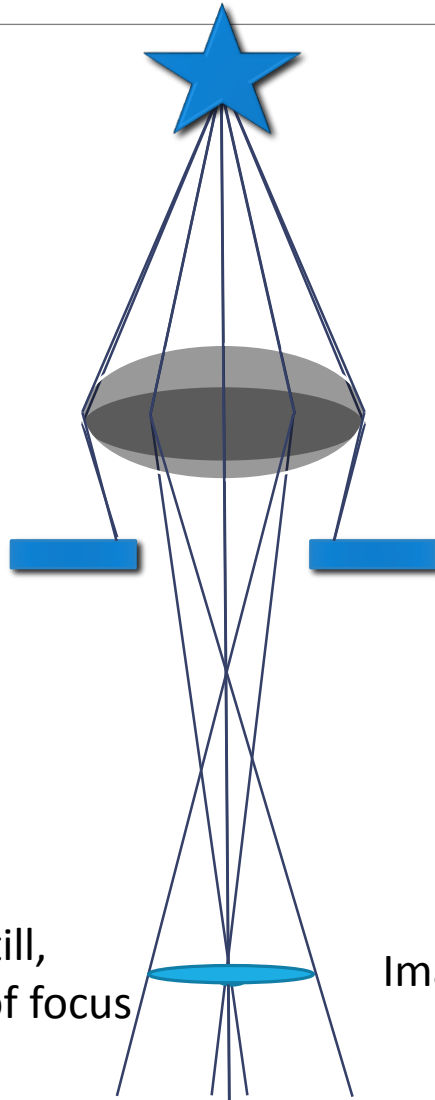
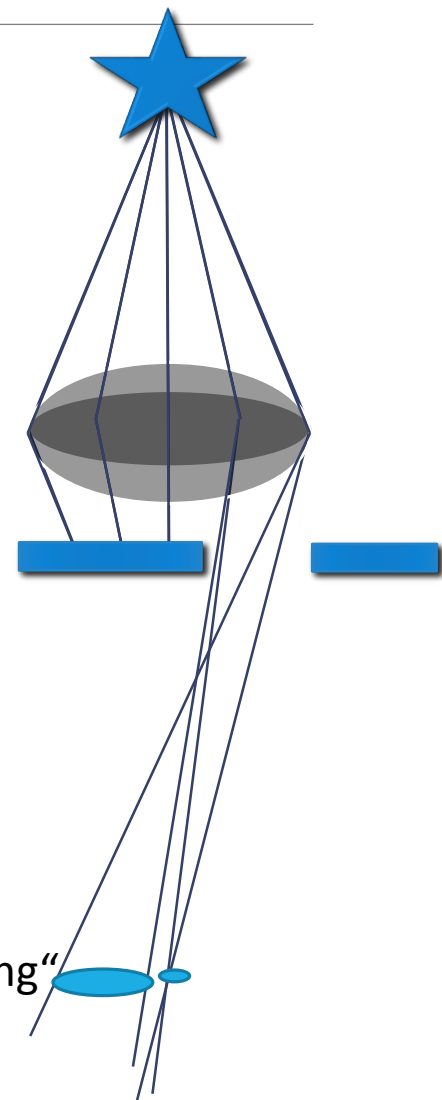
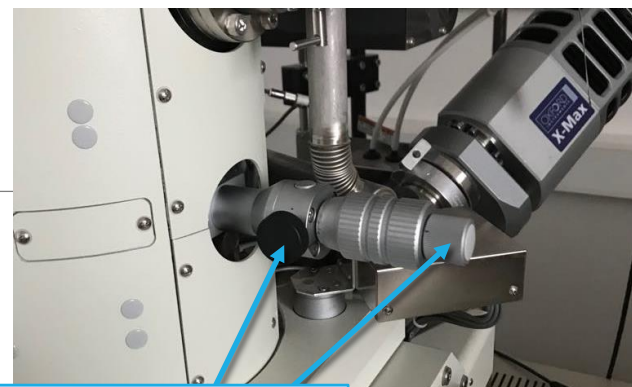
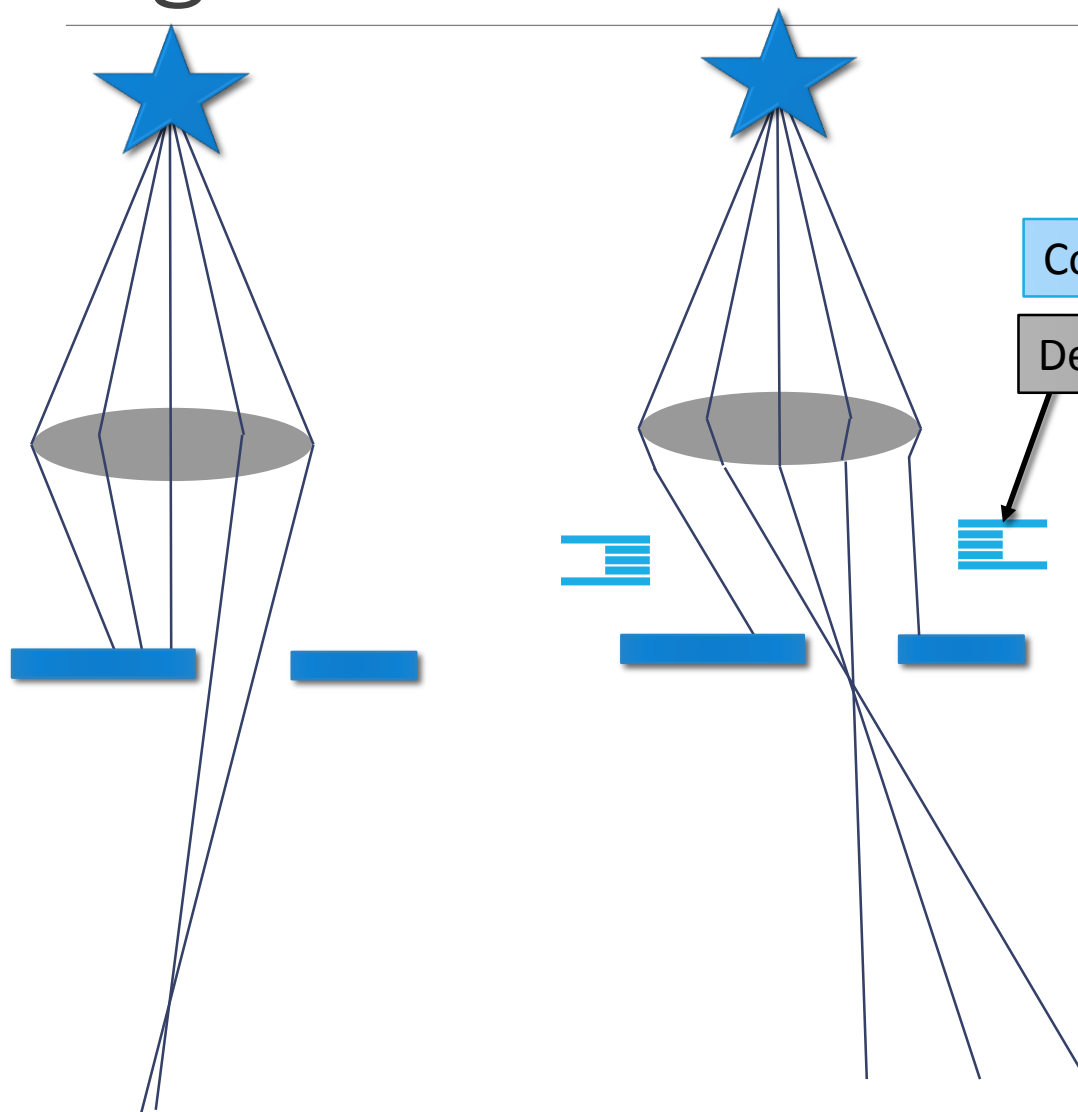


Image is „moving“



# Alignment for 7600F



Contact CEMM staff

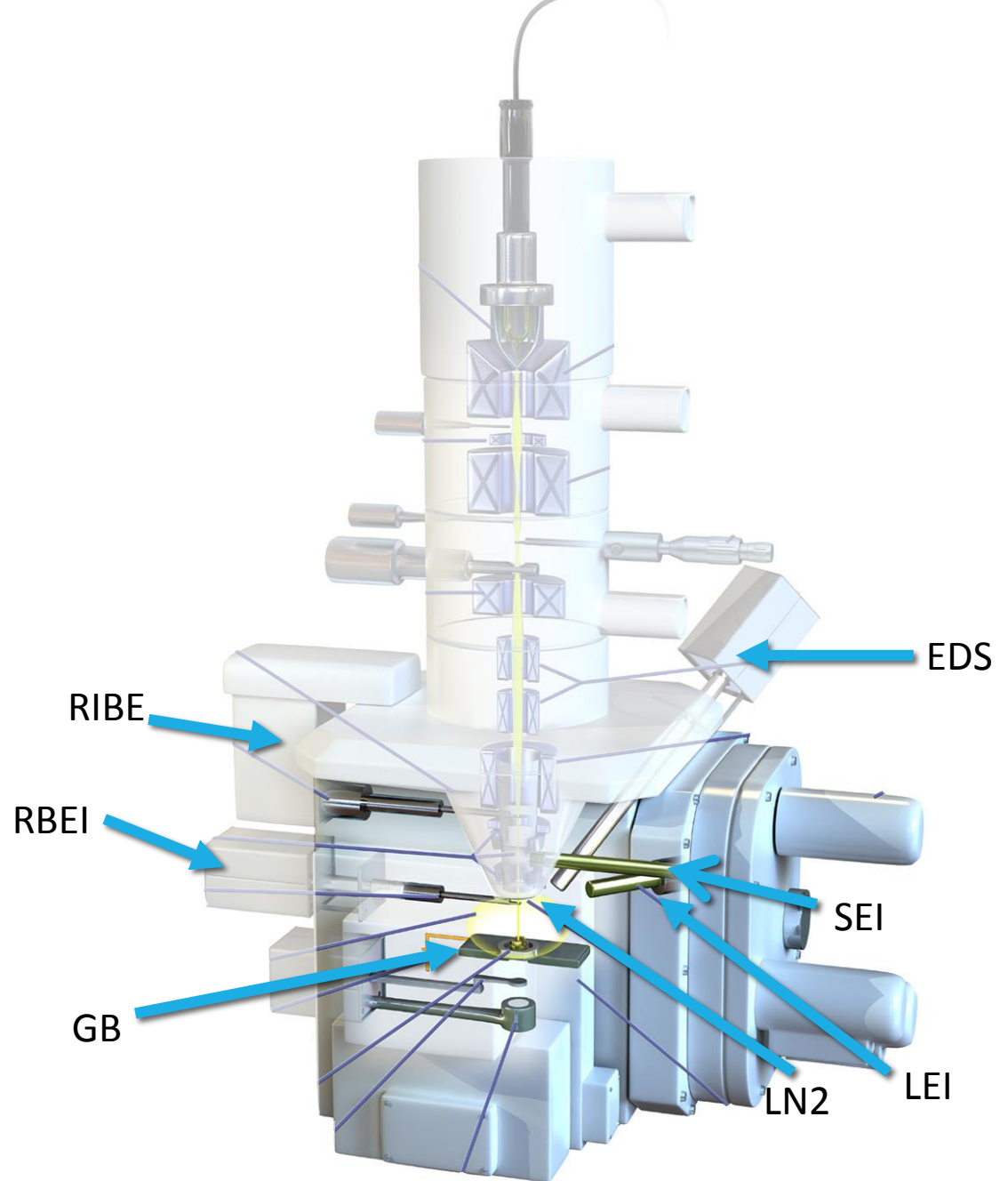
Deflection (alignment) coils



# Sample chamber

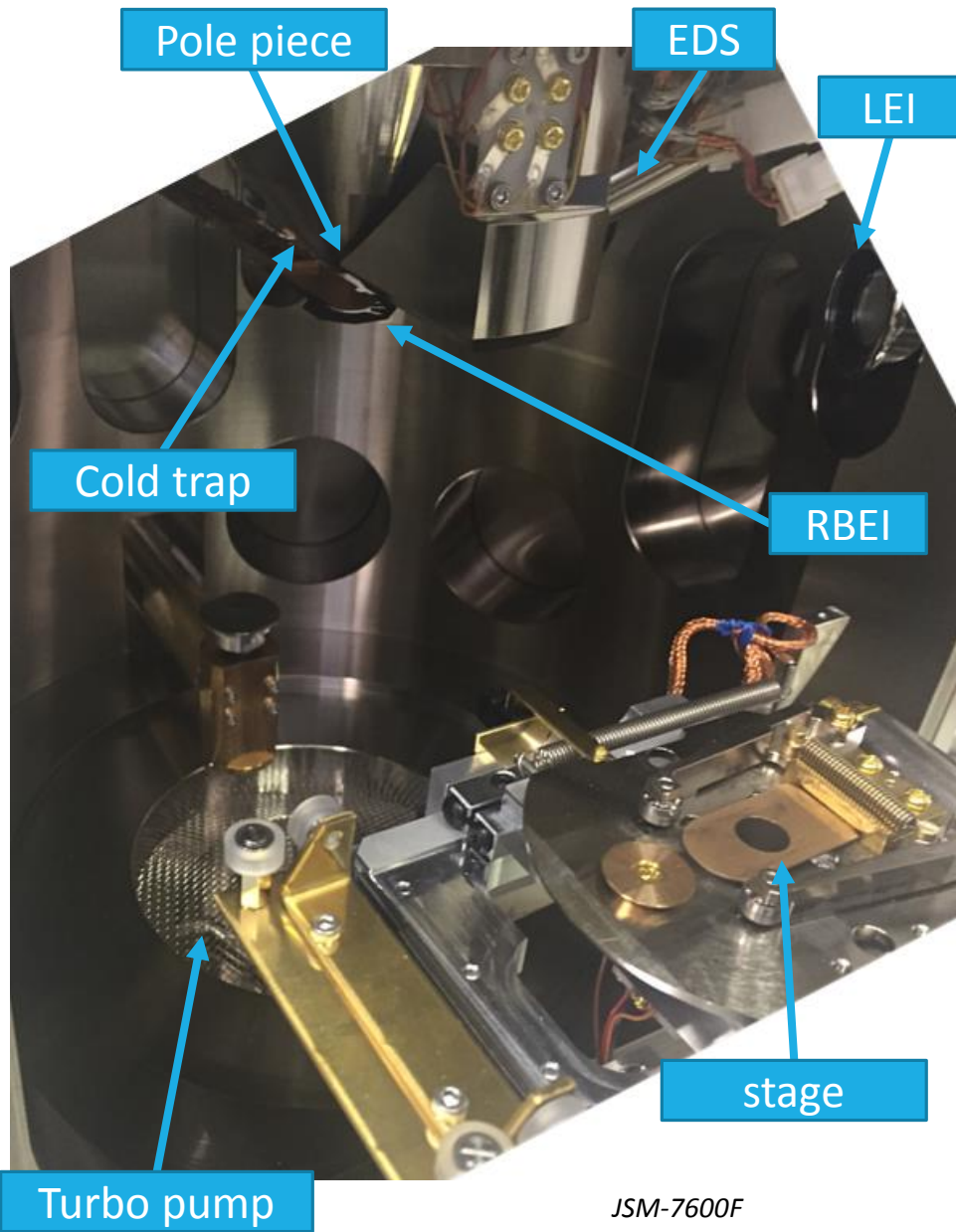
motorized stage (x,y,z,t,r)

detectors

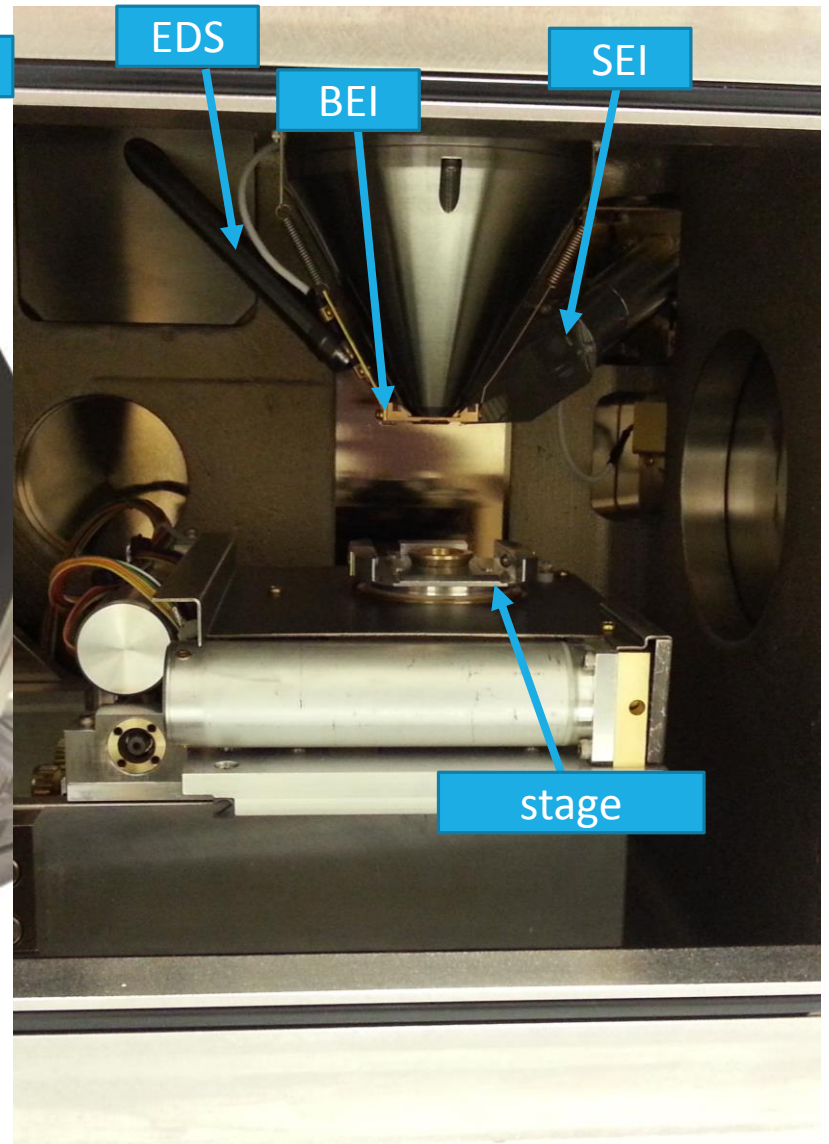


(Image: JEOL)





JSM-7600F



JSM-5800

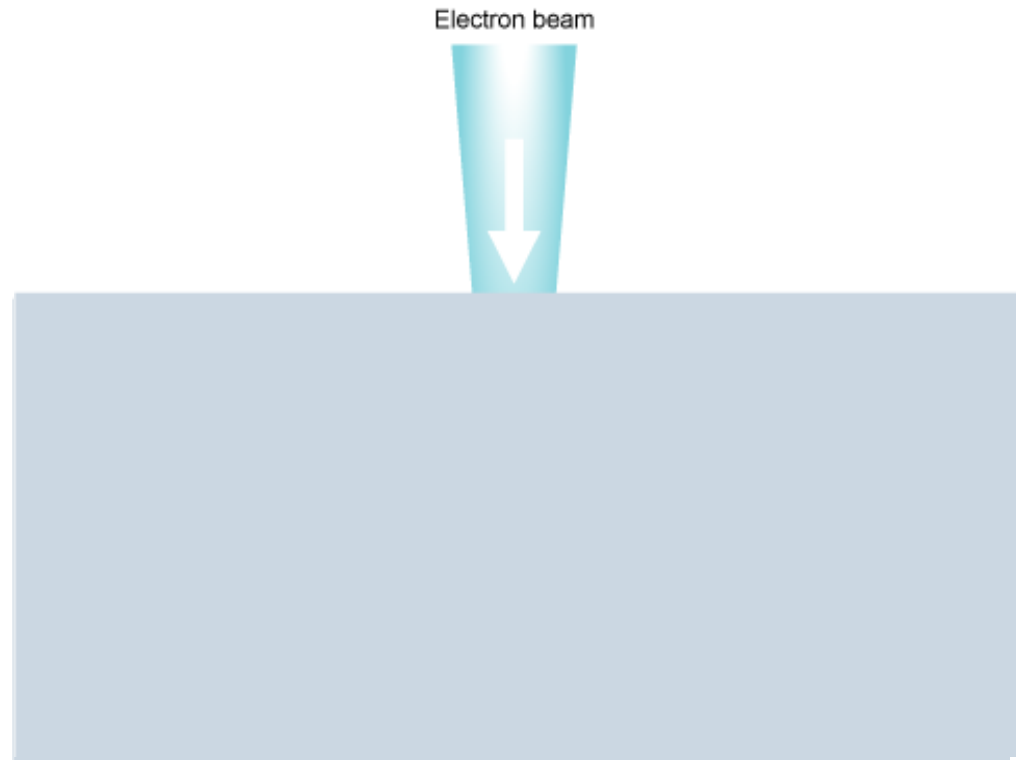
# Electrons and their interactions with the specimen

## Electrons:

- Secondary (low energy)
- Backscattered (high energy)
- Auger electrons
- Beam current

## Photons

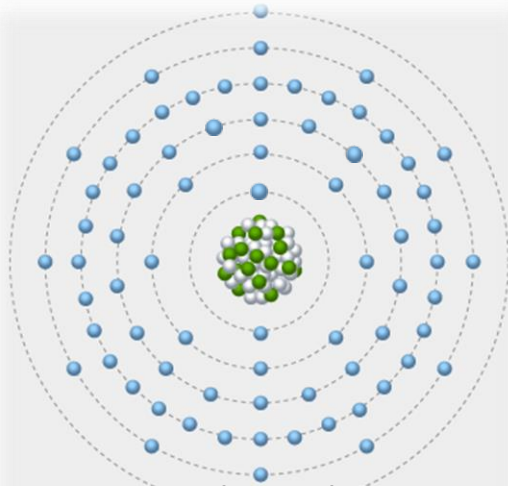
- X-rays
- cathodoluminescence



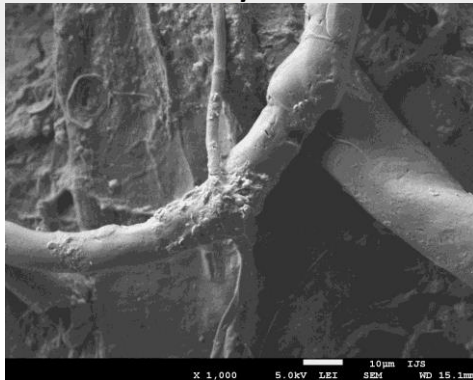
*(Image: ammrif)*

# Two types of SEM image

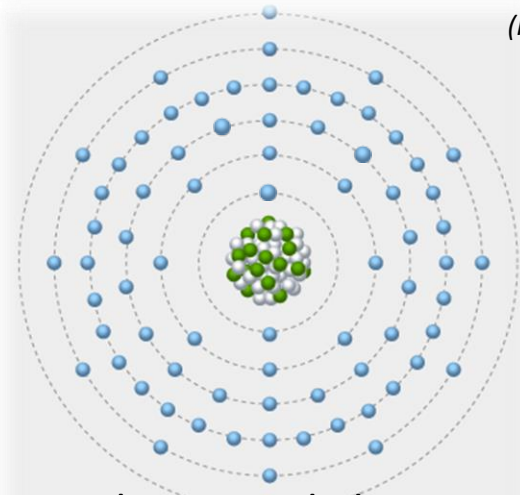
## Secondary electrons (SE)



SEI - Secondary electron image



## Backscattered electrons (BSE)



(Images: ammrif)

BEI - Backscattered electron image



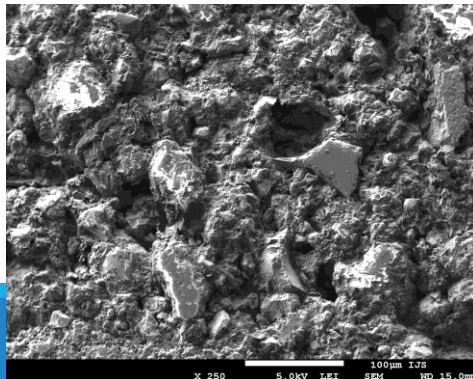


# Total electron yield: $\sigma = \delta + \eta$

## SE yield ( $\delta$ )

the number of secondary electrons emitted per incident particle is called secondary emission yield

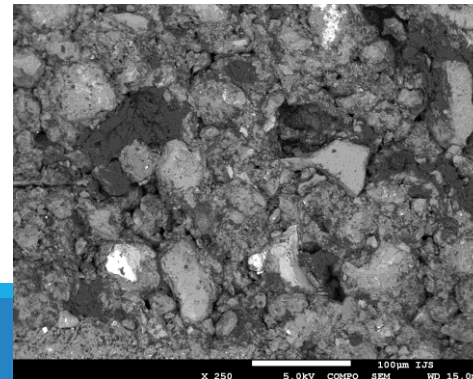
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## BSE yield ( $\eta$ )

the number of backscattered electrons emitted per incident particle is called backscattered emission yield

Figure removed for copyright reasons.



# Signal

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## Secondary electrons

- High resolution
- Strongly topography sensitive
- Little element sensitive
- Sensitive to charging



## Backscattered electrons

- Lower resolution
- Atomic number contrast in particular strong signal to heavy atoms
- Less sensitive to charging



(Images: oxford)

# SEM settings

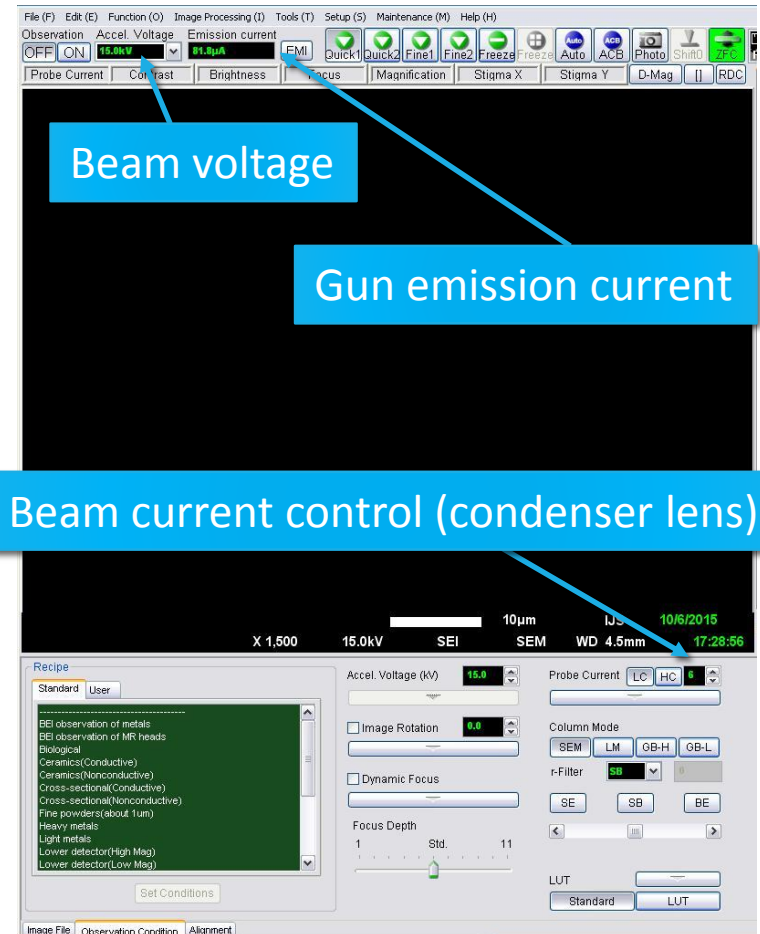
## Voltage (electrical potential)

- Consider as the spread or energy of electrons
- Typically 1-30 kV or keV

## Current (number of electrons/unit time (amps))

- 1 coulomb  $\sim 6 \times 10^{18}$  electrons
- 1 A = 1 C/s
- Typically from  $10^{-12}$  A to  $10^{-9}$  A
- So 1 nA  $\sim 9 \times 10^9$  electrons/sec

## WD and apertures

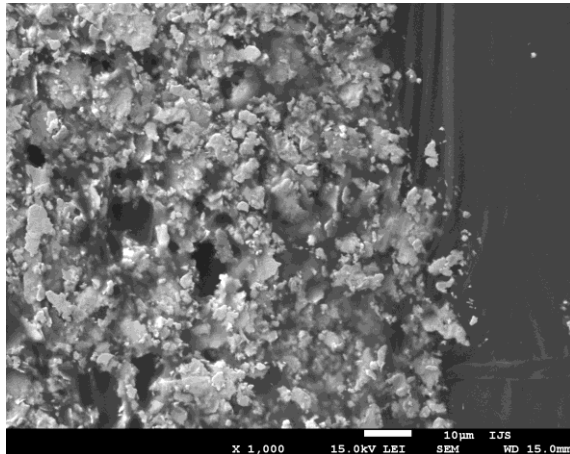


# Voltage and SE image

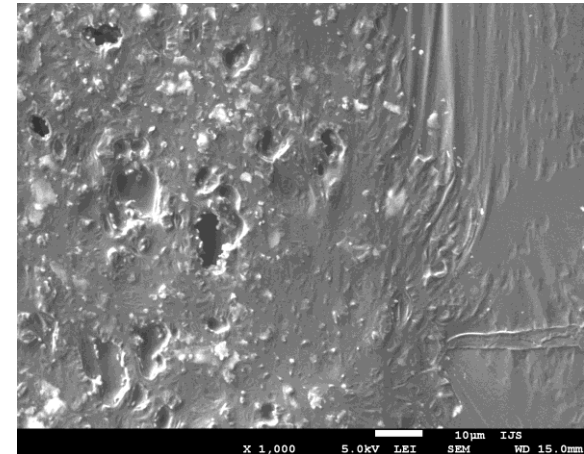
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(Images: ammrif)

15 kV



5 kV

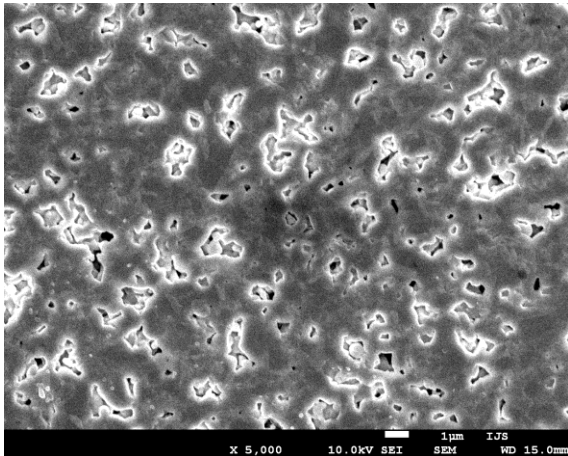


# Probe current and SE image

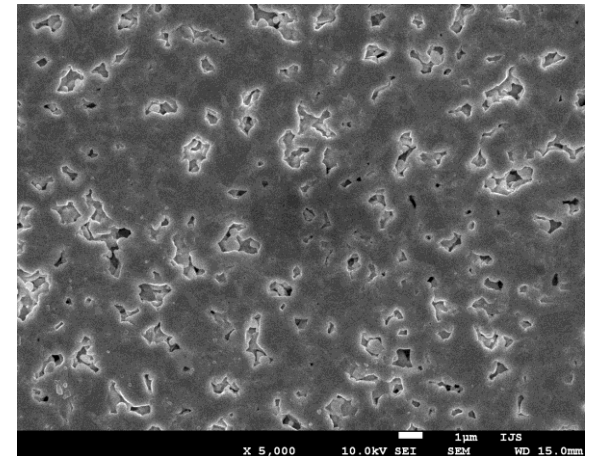
(Images: ammrfr)

Figure removed for copyright reasons.

PC 8  $\rightarrow$  0,35 nA



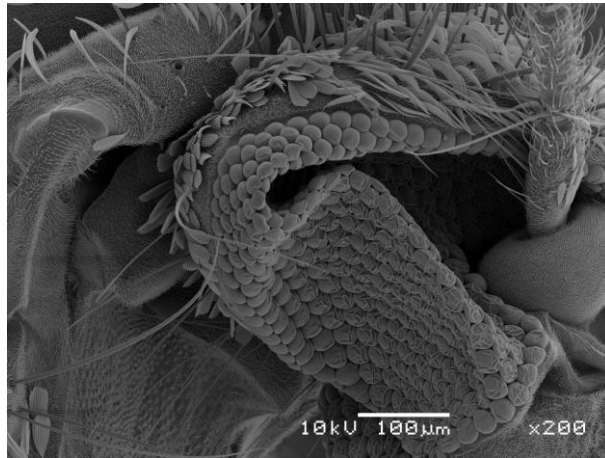
PC 6  $\rightarrow$  0,08 nA



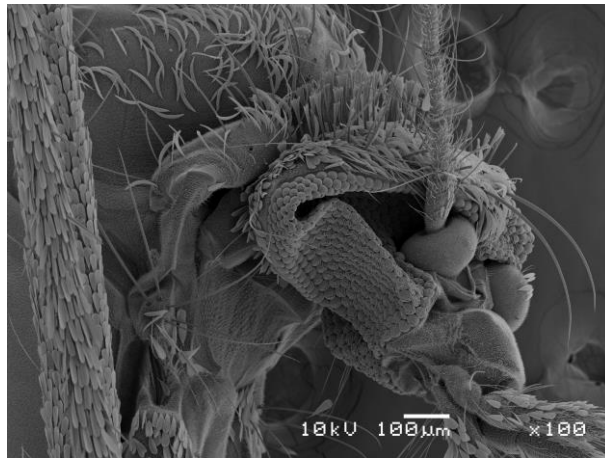


# Depth of focus

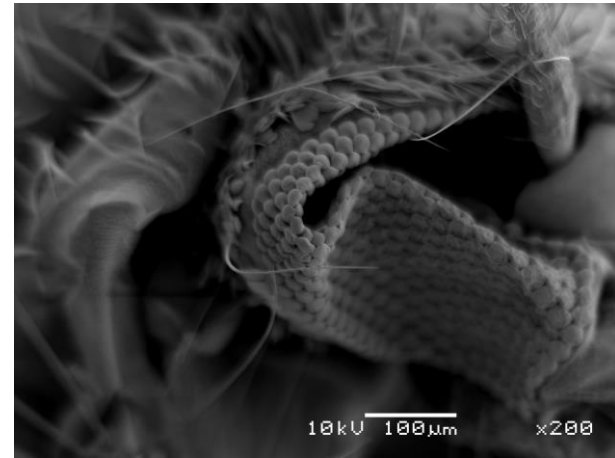
The WD and the aperture impacts on the **depth of field** and **resolution** of the SEM image



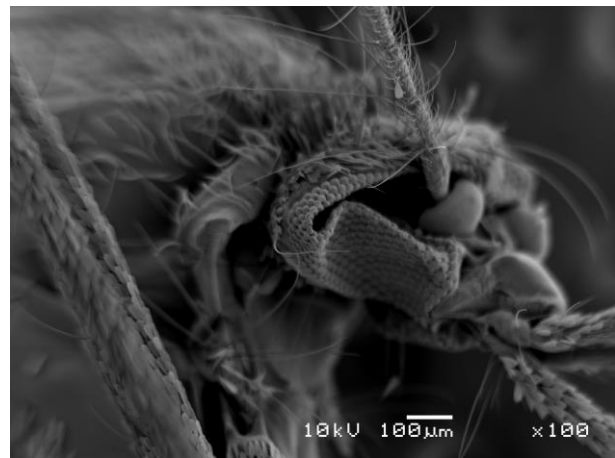
JSM-5800:  
#1 and  
WD 29



**High DOF:** use **smaller** aperture (#) and **larger** WD



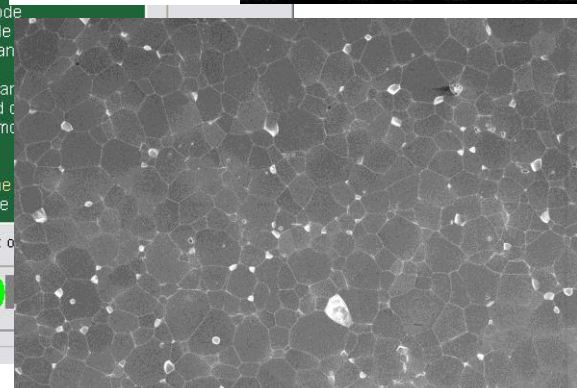
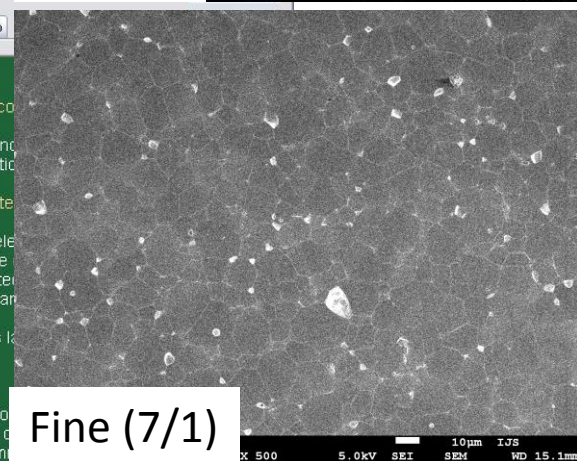
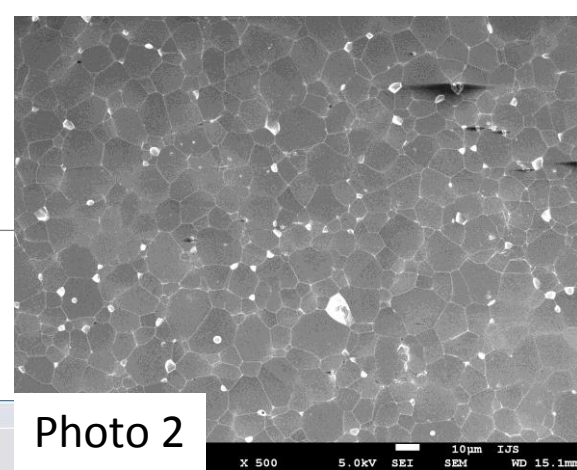
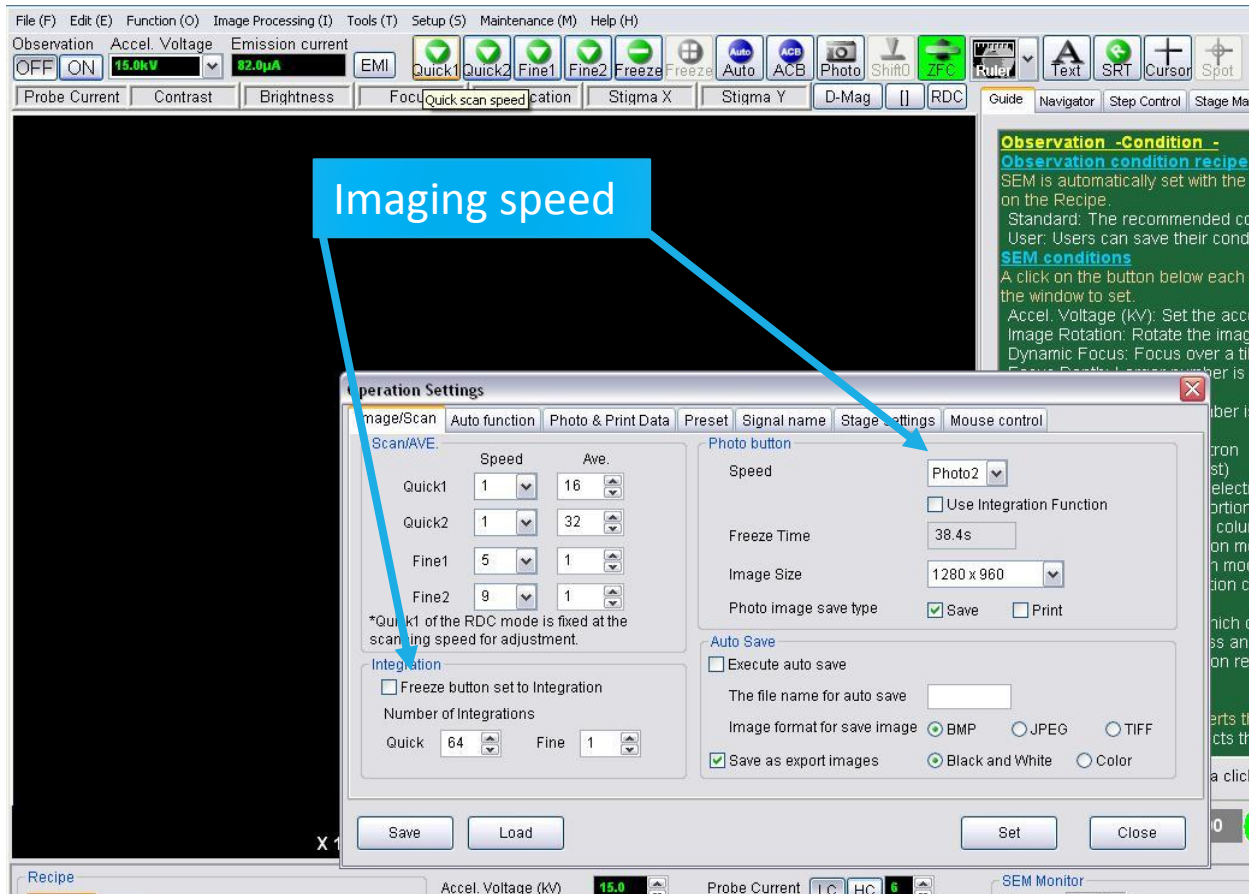
JSM-5800:  
#3 and  
WD10



**Low DOF:** use **bigger** aperture (#) and **smaller** WD

# Changing the speed

If we have charging problems...



# To finish the course?

- To understand the **WD**  
To determine the offset.
- **No damage** to the machine  
Is the sample vacuum compatible?  
How to check if the sample is magnetic?  
etc.
- Acquire a **good photo**
- To know what is a magnetic sample





# How to work with magnetic samples

A) **minimal amount** as possible!

- Bulk - less force
- Powder – to avoid flying of the holder

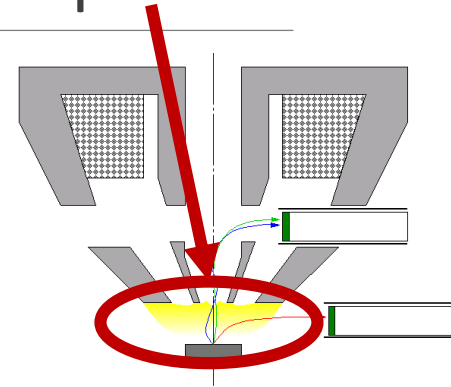
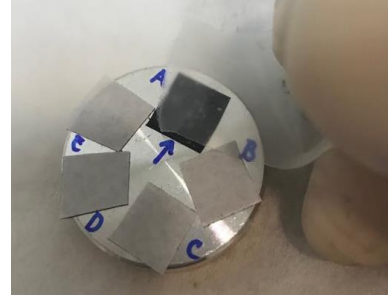
B) **mount it very good!** Use special holder.

C) in TEM when inserting the sample **turn on LM!**

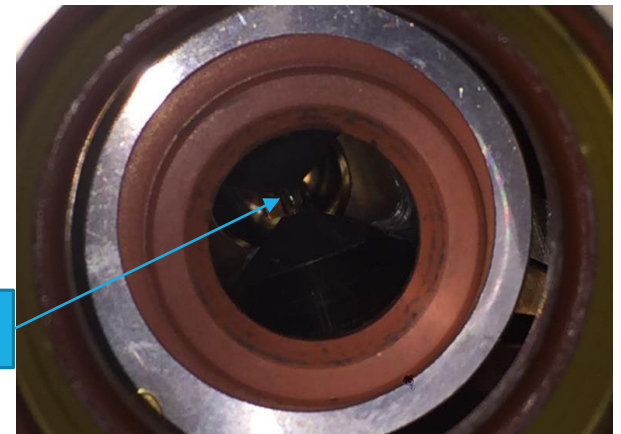
D) for JSM-7600F the distance is **WD 15mm or more!**

E) use **slow** movement (x, y and z) under the objective lens -  $\Delta B$ !

F) **focus**, stigmatizm ... very **slowly!** -  $\Delta B$ !



Sample is „floating“ inside TEM

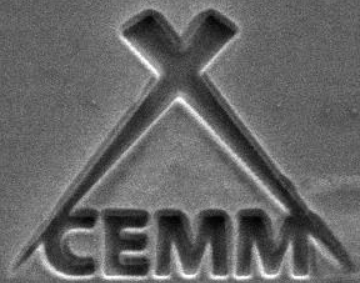


# Take home information

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- Why is it possible to image with electrons
  - The wave particle duality and the scanning mode makes it possible
- Different parts of the SEM and what is the difference between them
  - Why we have different types of electron gun (W, FE)
  - How the electromagnetic lenses work and why magnetic samples are a problem
  - Why we need apertures
  - Detectors and SEM images
- Electrons and their interactions with the specimen and what kind of information we get from SE and BSE image
  - SE yield
  - BSE yield
- SEM settings and how we observe it on the image
  - What is the difference in image depending on the
    - Voltage
    - Current
    - WD and apertures and DOF
    - Scanning speed





*Maja Koblar*